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PATENT APPLICATION - 10/757,005

Atty. Dkt. No. 1001.18

SEARCHED AND SERIALIZED  
DRAFTSMAN

DEPSREP  
ROOM-307

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:  
Russell Earl Morris  
Serial No.: 10/757,005  
Confirmation No.: 3297  
Filed: January 14, 2004  
For: Method For Interchangeably  
Promoting A Business On A Hat

§ Group Art Unit: Unknown

§ Examiner: Unknown

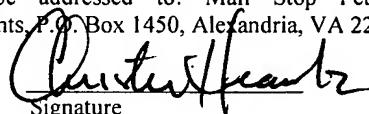
Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

37 CFR 1.8

I hereby certify that this correspondence is being deposited on the date below with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.

4/28/04  
Date

  
Signature

Dear Honorable Commissioner:

06/08/2004 GWORD01 00000063 501313 10757005  
01 FC:1460 130.00 DA

PETITION FOR FILING DATE

Applicants hereby petition the filing date of application number 10/757,005 pursuant to 37 C.F.R. 1.53(e)(2) to be the date of depositing the application with the United States Postal Service Express Mail compiling with the procedure of 37 C.F.R. §1.10 which is January 14, 2004, as shown as Attachment A. The petition includes a true copy of the "Express Mail" mailing label showing the "date-in" as attachment A and of any other official notation by the USPS relied upon to show the date of deposit.

Pursuant to 37 C.F.R 1.53 the application number 10/757,005 contained drawings when filed, the post card returned from the USPTO upon receipt of the application states that four (4) pages of drawings were included in the application, a copy of the post card is shown as Attachment B. The transmittal letter also accompanying the filing of the application states on page 1 that the application contains FIGS 1, 2, 3, and 4 on four

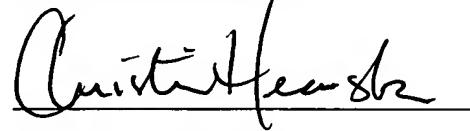
sheets of drawings were included with the filing of the application as shown as  
Attachment C.

2007 NY - 10 11 08 07

The Commissioner is hereby authorized to charge counsel's Deposit Account No. 50-1313, in the amount of \$130.00 and any other deficiencies for this Petition under 37 C.F.R. 1.17(h). Applicant claims small entity status under 37 C.F.R. § 1.27.

Applicant here by requests a refund of the Petition fee for this petition.

Respectfully submitted,



Christian N. Heausler  
Registration No. 50,771

Please send Correspondence to:

Buskop Law Group, P.C.  
1717 St. James Street  
Suite 500  
Houston, Texas 77056

PATENT ATTORNEYS  
WENDY K. B. BUSKOP  
CHRISTIAN N. HEAUSLER



TRADEMARK ATTORNEY  
SUNISHA S. CHOKSI

EXECUTIVE DIRECTOR  
RUTH E. GERNHART

Patents and Trademarks  
& Status and Entity  
Related Matters

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STAFF  
DEBORAH A. POWELL  
KENYETTA L. GREEN  
BABS D. ROBICHEAUX

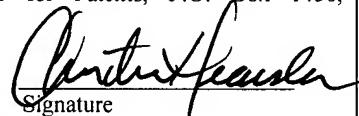
Telephone: (713) 403-7411  
Fax: (713) 960-0204  
[www.buskoplaw.com](http://www.buskoplaw.com)

WASHINGTON OFFICE  
PETER A. BORSARI, OF COUNSEL  
2001 JEFFERSON DAVIS HIGHWAY  
SUITE 206  
ARLINGTON, VA 22202

Writer's Direct Dial:  
713.403.7410

April 28, 2004

File No. 1001.18

CERTIFICATE OF MAILING 37 CFR 1.8	
I hereby certify that this correspondence is being deposited on the date below with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.	
4/28/04 Date	 Signature

MAIL STOP PETITION  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

RE: *U.S. Patent Application Serial No. 10/757,005;*  
*Entitled: "Method for Interchangeably Promoting a Business on a Hat"*  
*Inventor: Russell Earl Morris.*

Sirs:

Enclosed for filing in the above-mentioned application is:

- (1) A Petition and Response to Notice of Incomplete NonProvisional Application dated April 28, 2004, including a request for refund of the \$130.00 petition fee;
- (2) A copy of the Notice of Incomplete NonProvisional Application dated April 21, 2004;
- (3) A copy of the Express Mail Label (Express Mail Label No. ET 949 474 791 US) as Attachment A;
- (4) A copy of the date stamped postcard received from the U.S. Patent and Trademark Office evidencing receipt of FIGS. 1, 2, 3 and 4 on four sheets by the USPTO as Attachment B;

# BUSKOP LAW GROUP, P.C.

Petition and Response for Incomplete Application  
Application Serial No. 10/757,005

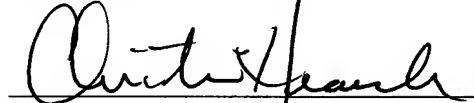
STATE OF MASSACHUSETTS  
April 28, 2004  
Transmittal – Page 2 of 2

22<sup>nd</sup> MAY - 4 PM 4:47

- (5) A copy of the application transmittal letter showing the submission of FIGS. 1, 2, 3 and 4 on four sheets along with the other application papers filed on January 14, 2004 as Attachment C;
- (6) An additional set of informal drawings including FIGS. 1, 2, 3 and 4 on 4 sheets; and
- (7) A postcard. Please date stamp and return the enclosed postcard to evidence receipt of these materials.

The Commissioner is hereby authorized to charge the \$130.00 petition fee as well as any other deficiencies to Deposit Account No 50-1313 in the name of Buskop Law Group. A duplicate copy of this transmittal is enclosed. Applicant respectfully requests a refund of the \$130.00 petition fee if it is determined that FIGS. 1, 2, 3 and 4 were in fact received with the application papers on January 14, 2004 by the Office as stated in the Notice.

Respectfully submitted,



Christian N. Heausler  
Patent Attorney  
Reg. No. 50,771

PATENT ATTORNEYS  
WENDY K. B. BUSKOP  
CHRISTIAN N. HEAUSLER

TRADEMARK ATTORNEY  
SUNISHA S. CHOKSI

EXECUTIVE DIRECTOR  
RUTH E. GERNHART



Patents and Trademarks  
&  
Related Matters

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[www.buskoplaw.com](http://www.buskoplaw.com)

STATUS AND LISTING PARALEGALS  
BRANCH: GERTRUD W. BARKER  
SARAH A. GERNHART

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STAFF  
DEBORAH A. POWELL  
KENYETTA L. GREEN  
BABS D. ROBICHEAUX

WASHINGTON OFFICE  
PETER A. BORSARI, OF COUNSEL  
2001 JEFFERSON DAVIS HIGHWAY  
SUITE 206  
ARLINGTON, VA 22202

Writer's Direct Dial:  
713.403.7410

April 28, 2004

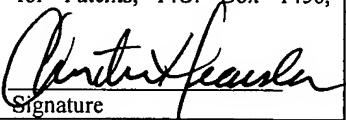
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CERTIFICATE OF MAILING  
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4/28/04

Date



Signature

MAIL STOP PETITION  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

RE: *U.S. Patent Application Serial No. 10/757,005;*  
*Entitled: "Method for Interchangeably Promoting a Business on a Hat"*  
*Inventor: Russell Earl Morris.*

Sirs:

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- (3) A copy of the Express Mail Label (Express Mail Label No. ET 949 474 791 US) as Attachment A;
- (4) A copy of the date stamped postcard received from the U.S. Patent and Trademark Office evidencing receipt of FIGS. 1, 2, 3 and 4 on four sheets by the USPTO as Attachment B;

# BUSKOP LAW GROUP, P.C.

Petition and Response for Incomplete Application  
Application Serial No. 10/757,005

STATUS AND ENTITY

BRAND April 28, 2004

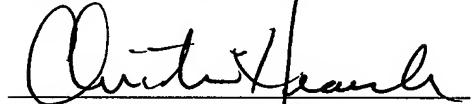
Transmittal – Page 2 of 2

2004 MAY -4 PM 4:07

- (5) A copy of the application transmittal letter showing the submission of FIGS. 1, 2, 3 and 4 on four sheets along with the other application papers filed on January 14, 2004 as Attachment C;
- (6) An additional set of informal drawings including FIGS. 1, 2, 3 and 4 on 4 sheets; and
- (7) A postcard. Please date stamp and return the enclosed postcard to evidence receipt of these materials.

The Commissioner is hereby authorized to charge the \$130.00 petition fee as well as any other deficiencies to Deposit Account No 50-1313 in the name of Buskop Law Group. A duplicate copy of this transmittal is enclosed. Applicant respectfully requests a refund of the \$130.00 petition fee if it is determined that FIGS. 1, 2, 3 and 4 were in fact received with the application papers on January 14, 2004 by the Office as stated in the Notice.

Respectfully submitted,



Christian N. Heausler  
Patent Attorney  
Reg. No. 50,771

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1/14/04

Mailing Label

Label 11-B May 2001



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<input type="checkbox"/> WAIVER OF SIGNATURE (Check One) I wish to waive my signature requirement. I understand that the signature of the addressee or addressee's employee is required for delivery. I also understand that if my signature is not present, delivery will be delayed until my signature is present.			
<input type="checkbox"/> NO DELIVERY (Check One) I wish to waive my signature requirement. I understand that if my signature is not present, delivery will be delayed until my signature is present.			
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Trademark Office placed hereon acknowledges receipt of:

Docket # 1001.18  
Date Mailed: Jan 14, 2004

The stamp of the Patent and Trademark Office placed hereon acknowledges receipt of:

Applicant: Morris Docket # 1001.18  
Filing Date: \_\_\_\_\_ Date Mailed: Jan 14, 2004

- Transmittal letter X2
- Application
- Spec 7 pages
- Claims 3 pages
- Drawings 4 pages (Fig 1, 2, 3, 4)
- Declaration
- POA
- Form 1449, IDS
- Small Entity Statement
- Assignment
- Cert. of Mailing, First Class
- Cert. of Mailing, Express Mail # - ET 949474791 US 17858 U.S. PTO 10/757005
- Other Postcard

- Check # 1001.18 for \$ 100
- Response to Office Action
- Amendment
- Petition for NO PDB
- Notice of Appeal
- Appeal Brief
- Formal Drawings 7 sheets
- Issue Fee
- Maintenance Fee Addressee
- Maintenance Fee
- Declaration
- POA
- Form 1449, IDS
- Small Entity Statement
- Assignment
- Cert. of Mailing, First Class
- Cert. of Mailing, Express Mail # - ET 949474791 US -
- Postcard

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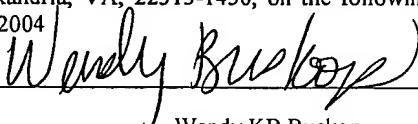
PATENT

MAIL STOP PATENT APPLICATION  
Commissioner of Patents  
PO BOX 1450  
Alexandria, VA 22313-1450

Attorney Docket No. 1001.18

CERTIFICATE OF EXPRESS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" Express Mail No. ET 949 474 791 US in an envelope addressed to MAIL STOP PATENT APPLICATION; Commissioner for Patents; PO Box 1450; Alexandria, VA, 22313-1450, on the following date: January 14, 2004



Wendy KB Buskop

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventor(s): RUSSELL EARL MORRIS

For: METHOD FOR INTERCHANGEABLY PROMOTING A BUSINESS ON A HAT

1. Type of Application

This new application is for a(n):

Utility application.

The present application claims priority to co-pending Provisional U.S. Patent Application Serial No 60/440,139 filed January 15, 2003.

2. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

7 Pages of specification

3 Pages of claims

1 Page of abstract

4 Sheets of  informal or [ ] formal drawings (FIG 1, 2, 3, and 4)

**3. Declaration or Oath**

MAY -4 PM 4:47

 Enclosed executed by inventor.**4. Non-publication Request under 35 U.S.C. § 122(b)(2)(B)(i)** Enclosed and signed in compliance with 37 C.F.R. § 1.33(b).**5. Language** English**6. Postcard** A postcard is attached**7. Fee Calculation (37 CFR 1.16)** Utility application

A. Number of claims as filed	18
B. Number of independent claims as filed	1
Filing Fee Calculation [\$770 + [(A-20)x\$18 + (B-3)x\$86)]]	\$ 770.00

**8. Small Entity Statement(s)** Applicant claims small entity under 37 CFR § 1.27.

Filing Fee Calculation (50% of above)	\$ 335.00
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**9. Fee Payment Being Made At This Time** Enclosed

[X] Basic filing fee	\$ 335.00
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Total fees enclosed	\$ 335.00
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STATUS AND ENTITY  
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10. **Method of Payment of Fees**

- [X] Commissioner is hereby authorized to charge the \$335.00 filing fees and any other fee deficiencies associated with this filing to Deposit Account No. 50-1313 in the name of Buskop Law Group. A duplicate copy of this transmittal is attached.

Date: January 14, 2004

Wendy Buskop  
Wendy KB Buskop, Reg. No. 32,202

Send correspondence to:

Wendy K. Buskop  
Buskop Law Group, P.C.  
1717 St. James Place, Suite 500  
Houston, Texas 770560.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

BRANCH

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING OR 371 (c) DATE <sup>1</sup>	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/757,005	01/14/2004	Russell Earl Morris	1001.18

Wendy K. Buskop  
 Buskop Law Group, P.C.  
 Suite 500  
 1717 St. James Place  
 Houston, TX 77056



**CONFIRMATION NO. 3297**  
**FORMALITIES LETTER**



\*OC000000012407308\*

Date Mailed: 04/21/2004

**NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION****FILED UNDER 37 CFR 1.53(b)**

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)). Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

- The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." *Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).*

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

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2011 MAY - 11  
FIL # 47

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O P E / J C 423

*A copy of this notice MUST be returned with the reply.*

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE    STARS AND STRIPES  
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30 MAY -4 PM 4:48